

The D. E. Shaw Group Online Privacy Statement

Effective Date: April 10, 2026

Thank you for visiting the D. E. Shaw Group’s website and for viewing this online privacy statement (the “**Privacy Statement**”). This Privacy Statement serves to give you notice about the types of personal information we collect, how we use it, and who we share it with and why. We describe those matters in detail in the pages that follow. We encourage you to read this Privacy Statement carefully.

The effective date on the Privacy Statement above indicates the date on which this Privacy Statement was last updated. The English language version of this Privacy Statement is the controlling version regardless of any translation you may attempt.

Please note that use of our website is also governed by our [Terms and Conditions of Use](#) (the “**Terms of Use**”), which contain a Class Action Waiver (as defined in our Terms of Use) and mandatory arbitration clause governing all privacy related complaints and disputes except those falling under the Competent Data Protection Authority (defined in Section 12). We encourage you to read the Terms of Use carefully.

1. Navigating Through This Privacy Statement

You can use the links below to navigate to areas of this Privacy Statement that apply specifically to you, or which may otherwise be of interest:

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2. Some Important Vocabulary

This Privacy Statement is an important document that explains how we address some of our legal obligations, and your related legal rights, involving personal information. We'll use this section to let you know about some words that have special meanings whenever you see them in this Privacy Statement. When we reference "**this Privacy Statement**" we mean the Privacy Statement you are reading now. Wherever we say "**we**", "**us**", or "**our**" we mean the D. E. Shaw Group as defined in the Terms of Use. We use the words "**you**" and "**your**" to mean each individual user accessing and using our website who is, in all cases, over the age of 18. This age requirement is discussed in more detail later in this Privacy Statement [here](#).

When we talk about our "**website**" we mean our website found at deshaw.com and its subdomains, except where a separate privacy statement is applicable to the subdomain, and such others as we may make available from time to time.

Finally, when we refer to "**personal information**" we mean any information, data, or data element that, alone or in combination with other elements, can be used to distinguish, trace, or discover your identity. Certain data privacy laws include specific data elements or defined terms for what they consider to be personal information (sometimes also referred to as "personal data"). Where such data privacy laws apply, then the term "personal information" includes those specific data elements and defined terms as required by such laws.

3. When And to Whom Does This Privacy Statement Apply?

Our website collects personal information from visitors to, and users of, our website; and may receive personal information from those who may interact with ads and Content (as defined in our Terms of Use) we display on third-party sites. This Privacy Statement applies to our processing and sharing of personal information collected by those means. If we have legal obligations to other categories of data subjects, such as our employees, supply chain or business partners, or vendors, we provide them with separate legally required notices or other terms in confidential contracts, policies, and other similar documents. If you are an investor who is also an authorized user of our Investor Portal (as defined in our Terms of Use), you will be provided with separate Terms of Service applicable to the use of your Account (as defined in our Terms of Use).

For job applicants who may use our Careers Page (as defined in our Terms of Use), this Privacy Statement applies to you only in your capacity as a general visitor to our website. Once you apply for a position with the D. E. Shaw Group, you will be provided with certain separate Candidate Notices and Disclosures (as defined in our Terms of Use) governing you in your capacity as a candidate.

4. How Do We Collect Your Personal Information?

We collect and otherwise obtain your personal information in the following ways: (a) via our website when you choose to give it to us by **voluntary submission**; (b) via our website through **automatic collection** by technical means when you visit or use our website; and (c) when it is provided to us by **external sources** such as social media companies or companies that provide services on our behalf who,

in each case, may have previously and separately collected elements of your personal information without our involvement and then shared it with us as part of a service for which we engaged that source.

Voluntary Submission.

Here are some ways you voluntarily give us your personal information:

- **Creating an Investor Relations Account.** If you are an existing investor with the D. E. Shaw Group, you will provide us with your name, email address, and/or phone number when you create your Account. In order to log into the Investor Portal, we will need to verify your identity for security purposes. By responding to the prompts during that verification process (such as clicking “Send Code”) you will be consenting to the use and disclosure of your phone number or email address to our designated third-party service provider in order to perform multi-factor authentication or a successor verification method.
- **Subscribing to the D. E. Shaw Group Library.** When you sign up to receive notifications when we publish new Content in our Library, we collect some voluntarily submitted information such as your name and email address.
- **Applying to Work with Us.** If you apply to work with us, you may choose to submit your resume, cover letter, list of professional references, and other relevant information through our Careers Page or otherwise, including through third-party recruiters. As stated in Section 3, please note that once you apply for a position with the D. E. Shaw Group, you will be provided with certain separate Candidate Notices and Disclosures which will be applicable to you in your capacity as a candidate.
- **Registering for Events.** If we host or sponsor an event or program that you register for with us, you will be submitting certain identifiers. Please note that for certain events, we may provide a separate privacy notice relevant to that specific event or program which would supersede this Privacy Statement for those purposes.
- **Contacting us via email, phone, or fax.** If you choose to contact us through the email addresses available from the “Contact” page of our website or through a similar link, you will be giving us your email address and any other personal information that may be in your message or attached to it. The same is true if you call our offices or send us a fax.
- **Corporate Communications.** In the course of our corporate operations, we may collect the minimum business contact information that is voluntarily provided to us through business-to-business email exchanges and phone communications.
- **Other Methods.** Any other means by which you voluntarily submit personal information to us.

Your use of our website is strictly your choice. If you prefer that we not receive the above-described voluntarily submitted personal information, please don’t submit it. This means you shouldn’t participate in the applicable activities on, or use the applicable features available from, our website. By not participating in features that require voluntary submission of personal information, you may limit your ability to take full advantage of our website, but most of the Content will still be available to you and we never discriminate on the basis of how much information you provide.

Automatic Collection.

When you visit or use our website, basic information about your internet/electronic activity is automatically collected through your browser and through the systems used to operate and secure our website. We may also engage in automatic collection through other systems used to support and secure our website. At the most basic level, we use content delivery network and cloud hosting providers that receive your Internet Protocol (“IP”) address and other standard request data, including information about your device. In addition, we use cookies and other tracking technologies, which we describe in greater detail [here](#). Those tools and/or cookies may collect some information about your preferences for using our website or past actions, such as:

- the type of browser and operating system you use;
- the date and time and length of your visit;
- the pages visited, graphics viewed, and any documents downloaded; and
- links to other sites you accessed from our website or used to navigate to our website.

If you access our website from a phone or other mobile device, the mobile services provider may transmit to us certain information such as uniquely identifiable mobile device information. That, in turn, may allow us to collect mobile phone numbers and associate them with the mobile device identification information, despite that we have no intention to engage in such practices. Some mobile phone service providers also operate systems that pinpoint the physical location of devices, and we may inadvertently receive this geolocation data as well.

External Sources.

We may work with companies who provide us with data, including personal information, so that we can tailor our services to audiences who have the most interest. These third-party companies collect information with their own cookies, pixels, and related tracking technology and then provide that information to us. We are not responsible for their data collection practices.

Further, we may maintain a presence on one or more external social media platforms such as YouTube and LinkedIn. Our website may contain connections or Links (as defined in our Terms of Use) to such social media platforms, or other websites or applications controlled by third parties. We are not responsible for either the content on, or the privacy practices of, social media platforms, or any third-party sites or applications to which we link or otherwise connect to. Those applications, sites, and platforms are not controlled by us and therefore have their own privacy policies and terms and conditions of use. **To be clear: neither this Privacy Statement nor our Terms of Use apply to our External Social Media Presence (as defined in our Terms of Use) or any third-party sites or apps to which we may link or connect.** That means even if you take an affinity action on our External Social Media Presence such as liking, sharing, or following content, and identifiers about you are automatically collected and given to us as a result, that collection and transfer is governed by the privacy policies and other terms of the applicable social media platform and is not our responsibility.

If you have questions about how those apps, sites, and platforms collect and use personal information, you should carefully read their privacy policies and contact them using the information they provide.

In addition, certain coalitions of advertisers allow consumers to opt-out of receiving interest-based advertising from members of those coalitions. While we do not run advertisements on our website, to the extent they become applicable to your use of our site or social media accounts, you can visit the [Digital Advertising Alliance](#) and [Network Advertising Initiative](#) to opt-out of receiving interest-based advertising from members of these coalitions. You will need to exercise these opt-outs on each browser on each device for which you wish to opt-out of interest-based advertising.

5. What Types of Personal Information Do We Collect?

The categories of personal information we have collected from visitors and users of our website in the previous 12 months, and may collect from you, are as follows:

- **General Identifiers** such as a real name, alias, online identifier, IP address, email address, or other similar identifiers.
- **Internet Activity Data** or other similar electronic network activity information, such as inputs, prompts, clickstream data, browsing history, search history, and information regarding your interaction with our website regardless of device type used.
- **Audio/Visual Data** such as recordings of external phone calls with your prior consent.
- **Analytics and Inference Data** drawn using the above-described personal information that could allow us to create a profile reflecting your interests and preferences or to otherwise improve our website or marketing strategies.
- Any other personal information that you voluntarily provide to us.

Sensitive Personal Information.

We do not intend to collect, from visitors to our website, “sensitive personal information” or “sensitive data” as these terms are defined by applicable law. If we notice that you voluntarily submitted it to us, we will delete it. We may have different data collection practices with respect to such sensitive data in connection with job applications. If we do so, separate Candidate Notices and Disclosures will be provided.

Deidentified Personal Information.

We may collect deidentified data, including data derived from the categories of personal information described in this Privacy Statement. This data does not identify you in any way. We will not reidentify, or attempt to reidentify, any deidentified information that we collect as described in this Privacy Statement.

6. How Do We Use the Personal Information We Collect?

We use the personal information we collect only in the manner and through the means allowed by applicable law. That means we determine whether we have a lawful basis/legitimate business purpose to use your personal information before doing so. As stated in applicable law, such lawful bases/legitimate business purposes include receiving express consent, operating our business, performing a contract, and complying with a legal obligation.

Generally speaking, we use personal information for the following business purposes:

- **Fulfilling our Legal Obligations** – such as fulfilling our duties and exercising our rights under contracts.
- **Improving our Website** – such as using information to operate, improve, test, and support features and functions.
- **General Marketing** – such as providing users with information about our business and events or programs we may participate in or sponsor.
- **Physical Security** – providing security at our physical locations and for internal or external investigations.
- **Technical Security** – monitoring our networks and detecting security incidents.
- **Client Service** – responding to inquiries, including requests for information.
- **Understanding our Users** – understanding the demographics and interests of our website visitors.
- **Debugging** – debugging, identifying, and repairing errors that impair existing intended functionality of our website.
- **Detecting and Preventing Unlawful Acts** – protecting against malicious or illegal activity and prosecuting those responsible.
- **Verification** – verifying users, when necessary, and responding to data subject requests.

We Do Not Sell Personal Information.

We do not sell, and within the last 12 months have not sold, personal information to third parties.

Retaining Your Personal Information.

We will store and retain your personal information in accordance with applicable law. The criteria used to determine the retention periods include:

- how long the personal information is needed in connection with the applicable purposes for which we use it;
- the type of personal information collected; and
- whether we are subject to a legal, contractual, or similar retention obligations (e.g., mandatory data retention laws, government orders to preserve personal information relevant to an investigation, or personal information that must be retained for the purposes of litigation or disputes).

7. When/With Whom Do We Share Personal Information?

We may share the categories of personal information described in this Privacy Statement with the following categories of third parties for the business purposes described below:

- **Corporate Affiliates** – corporate affiliates who will use such information in the same way as we can under this Privacy Statement.
- **Operations Support Vendors** – vendors that help us to operate and increase the efficiency of our business and our website.

- **Software and Technology Vendors** – various service providers may have access to, or will be provided with, some of your personal information in connection with the tasks they perform on our behalf such as maintaining portions of our networks or the software applications that run on them.
- **Analytics Providers** – third-party providers who assist us in analyzing our web traffic – some of whom are characterized as “marketing analytics companies”, such as Google Analytics.
- **Security and Fraud Prevention Providers** – third-party security and fraud prevention providers when we have reason to believe that someone is causing injury to or interference with our rights or property or harming or potentially harming other persons or property.
- **Law Enforcement or Government Agencies** – government agencies and authorities, and other third parties when compelled to do so, or at our discretion, or otherwise as required or permitted by law, including responding to court orders and subpoenas.
- **Successors for Business Sale/Purchase** – if we, or any of our affiliates, sell or transfer all or substantially all of our assets, equity interests, or securities, or are acquired by one or more third parties as a result of an acquisition, merger, sale, reorganization, divestiture, consolidation, or liquidation, personal information may be one of the transferred assets.

8. Do Not Track and Global Privacy Control

Certain browsers and plug-ins allow users to send signals such as Do Not Track (“DNT”) or Global Privacy Control (“GPC”). While we do not respond to DNT signals, we do honor GPC signals as a valid request to opt-out of the sale or sharing of your personal information where required by applicable law. When we receive a GPC signal, we will process it in the same manner as a “Decline All” action on the assent banner presented to users when they first visit our site. As such we will not display the banner to users who have sent us GPC opt-out signals. Please note that this opt-out mechanism applies only to the specific browser or device on which the signal is sent, and you may need to adjust your settings on each browser or device you use.

9. Cookie Notice (And Other Tracking Technologies)

What is a cookie and why do we use them?

A “cookie” is a small text file that is placed onto your device when you access a website or application. Cookies help websites remember information about your visit, which can both make it easier to visit the website again and make the site more useful to you. Other tracking technologies including, without limitation, embedded scripts, web pixels, web tags, web storage, web beacons, and identifiers associated with your app or device operate in a similar manner to cookies and are used for similar purposes. We refer to all of these technologies as “**cookies**.”

Who can place cookies on your device?

When you visit our website for the first time, we will ask for your permission to place non-essential cookies on your device. Depending on your choices, both the D. E. Shaw Group and our trusted third parties may place cookies on your device. Cookies placed by the D. E. Shaw Group are considered

“**first-party cookies**”, whereas cookies placed by our partners or on their behalf are considered “**third-party cookies.**”

How long do cookies stay on your device?

Cookies can be either temporary or non-temporary as follows:

- **Session cookies** are temporary and allow websites to track a user’s actions during their browser session. Session cookies are removed from your device after you leave the website you are currently visiting.
- **Persistent cookies** are non-temporary and remember a user’s actions and preferences on a website, or across different websites, over time. Persistent cookies remain on a user’s device until they expire at a pre-determined time (e.g., 30 days after being downloaded), or until the user deletes them, such as by clearing browser cookies or site data.

What types of cookies do we use?

The following types of cookies are used on our website:

- **Non-Advertising/Non-Marketing cookies**, also known as **strictly necessary cookies**, including **essential cookies, preference cookies, and performance/statistics cookies**. These cookies are essential for security and network management and to allow you to browse our website and use its features, as well as remember choices you have made on our website in the past. Typically, these are a mix of first-party and third-party session cookies. They do not involve sharing information with third parties for any type of marketing, advertising, or commercialization purposes.
- **Analytics cookies**, often referred to as **non-essential cookies**. These cookies are almost always third-party cookies and typically involve sharing certain elements of your data with those third parties for advertising, marketing, or analytics. For example, we use third-party “web analytics” services such as those offered by Google Analytics. For more information on how Google specifically uses this data, go to www.google.com/policies/privacy/partners/. We also use embedded content from Vimeo.com, Inc (“**Vimeo**”) which similarly uses cookies to enhance your experience when you visit the site. You can learn more about how to change your Vimeo cookie preference by going to www.vimeo.com/cookie_policy.

How can I manage or opt-out of cookies?

When you first visit our website, we provide you with the opportunity to opt-out of analytics cookies. We are not able to allow you to opt-out of strictly necessary/essential cookies because, as described above, they are necessary for the technical functioning of our website. If you accepted our use of cookies on your first visit and later change your mind, you can navigate away from our website, clear your browser’s cookies and/or site data, and then revisit our site clicking “Decline All” in the banner that will be re-presented. You also can learn more about how to opt-out of Google Analytics via their site by going to tools.google.com/dlpage/gaoptout.

10. Children’s Privacy

U.S. federal law imposes special restrictions and obligations on commercial website operators who direct their sites toward, and collect and use information from, children under the age of 13. We take those age-

related requirements very seriously and, consistent with them, do not intend for our website to be used by children under the age of 18, and certainly not by anyone under the age of 13. If we become aware that anyone under 18 has submitted personal information to us via our website without the aforementioned consent, we will delete that information and not use it for any purpose whatsoever. Moreover, if you are a college student under the age of 18 and you provide us with personal information by submitting an application through our Careers Page, you must obtain parental consent where required by local law prior to submitting such application.

11. Your Privacy Choices; Unsubscribing

If we are using your personal information to send you marketing materials, such as emails, regardless of where you live or whether you are protected by a Comprehensive Data Privacy Law (defined below), you may elect to unsubscribe and no longer receive those specific messages by following the instructions in the email. When we receive your request, we will take reasonable steps to remove your name from our distribution lists, but it may take time to do so. You may still receive materials for a period of time after you unsubscribe.

12. Comprehensive Privacy Laws

Privacy and data protection laws vary around the world and among the individual United States. Our obligations arising under the majority of the world's privacy laws, including U.S. federal and most state laws, are satisfied by individual risk assessments that we conduct to ensure we act reasonably and responsibly when processing your personal information. In some jurisdictions, however, privacy laws grant you, the data subject, certain specific rights regarding your personal information. We refer to these types of privacy laws as "**Comprehensive Privacy Laws.**" Examples of Comprehensive Privacy Laws include the respective versions of the General Data Protection Regulation adopted in the European Economic Area and the United Kingdom (collectively, "**GDPR**") and California Consumer Privacy Act of 2018 as amended by the California Privacy Rights Act (collectively, "**CCPA**").

Whether and how a particular Comprehensive Privacy Law applies to us involves a complex assessment of factors such as the degree to which we direct our business at the residents of a particular jurisdiction and the volumes of personal information we collect from that jurisdiction. At this time, we believe that the nature, scope, and locations of our business activities make us subject to the CCPA and GDPR. For purposes of this section, the words "**you**" and "**your**" mean only those data subjects protected by the Comprehensive Data Privacy Laws we currently, or may later, determine apply to us ("**Applicable Comprehensive Privacy Laws**"). Questions about how the Comprehensive Privacy Laws of jurisdictions other than the foregoing might apply to us can be directed to us as detailed in Section 14.

Your Rights under Applicable Comprehensive Privacy Laws.

You have the following rights under Applicable Comprehensive Privacy Laws. It's important to us that you know that if you exercise these rights, we will not discriminate against you by treating you differently from other data subjects who use our website but did not exercise their rights.

- **Right to Know.** You have the right to request that we disclose the personal information that we have collected about you and identify the purposes for which such information was used, whether and to whom it was shared, and what sources it was obtained from.
- **Right to Delete.** You have the right to request that we delete any personal information that we maintain about you, subject to certain exceptions.
- **Right to Correct.** You have the right to correct any personal information that we store about you.
- **Right to Opt-Out of Selling or Sharing.** While we do not engage in selling or sharing your personal information within the meaning assigned to “sell” and “share” under the CCPA, if we were to change our approach, you may opt-out of us selling or sharing your personal information by emailing us at privacy@deshaw.com.
- **Right to Opt-Out of Targeted Advertising.** While we do not engage in targeted advertising, if we were to change our approach, you have the right to request that we opt you out of targeted advertising which you can do by following the procedures described in the Cookie Notice Section of this Privacy Statement, above.
- **Right to Withdraw Consent from Processing.** If we have collected and processed your personal information based on your consent, then you can withdraw your consent at any time.
- **Right to Data Portability.** You have the right to request that we provide you with a copy of your personal information on file with us.
- **Right Not to Receive Discriminatory Treatment.** Exercising your privacy rights under this Privacy Statement will not result in any discrimination by us. We will treat you the same as any other user.
- **Right to Complain.** You have the right to complain to the data protection authority identified in applicable Comprehensive Data Protection Law as having competent authority (the “**Competent Data Protection Authority**”).
- **Right to Appeal.** If you make a request to exercise any of the applicable personal information access rights as detailed herein and we are unable to comply with your request, you may request to appeal our decision. To appeal any data privacy request decision, please contact us by emailing us at privacy@deshaw.com with the subject line “Data Access Request Appeal.” If after you complete the appeal process with us, you are still not satisfied with our response, you may contact your Competent Data Protection Authority as detailed below.
- **Right to Object to Processing.** Under the GDPR, you have the right to object to the processing of your personal information under the following circumstances:
 - Legitimate Interests - if the basis for which the processing occurs is in our legitimate interests or in the performance of a task carried out in the public interest. If you object, we will stop processing your personal information unless we can demonstrate compelling legitimate grounds for the processing which override your interests, rights, and freedoms or we require the personal information to establish, exercise, or defend a legal claim.

How to Exercise Your Rights under Applicable Comprehensive Privacy Laws.

In order for you or an agent you may designate to exercise any of the above rights, please contact us at privacy@deshaw.com or 1-800-382-7429. We will confirm our receipt in the time frames prescribed by Applicable Comprehensive Privacy Laws. We may, however, be prevented from acting on your request because Applicable Comprehensive Privacy Laws only allow us to act if we can verify your identity and/or your agent's authority to make the request. So you will also need to follow our instructions in this regard, which may include asking you to fill out a request form. The identity verification steps we use may vary depending on the sensitivity of the information and whether you have an Account with us. If we are unable to verify your identity, we may deny your requests to know or delete. In addition, Applicable Comprehensive Privacy Laws place limits on how often you can exercise your right to know. We will advise you if you exceed these limits and explain to you what they are.

Lodging a Complaint with your Competent Data Protection Authority.

If you think we failed to follow this Privacy Statement or otherwise violated Applicable Comprehensive Privacy Laws with respect to your specific personal information, those laws give you various rights to complain about, dispute, and seek remedies. Under the GDPR, you have the specific right to complain to your local Competent Data Protection Authority. Contact information for data protection authorities can be found [here](#) and [here](#).

International Transfers

We may transfer your personal information in accordance with a valid transfer mechanism under Applicable Comprehensive Privacy Laws (*e.g.*, the European Commission adequacy decision or EU standard contractual clauses) to recipients located outside of the European Economic Area (“EEA”) or the United Kingdom, including the United States and India, which may not have data protection laws equivalent to those in the EEA or the United Kingdom. You can request further details in relation to international transfers, including a copy of any standard contractual clauses, by using the contact details provided below.

13. Changes To This Privacy Statement

We reserve the right to change or update this Privacy Statement from time to time. Please check our website periodically for such changes since all information collected is subject to the Privacy Statement in place at the time of collection. We will indicate the effective/amendment date at the beginning of this Privacy Statement.

14. Contacting Us

If you have questions about this Privacy Statement or our privacy practices, please contact us at privacy@deshaw.com.